

Item 8.6

1 March 2016

Ms Jane Tomkinson
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Trust
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Making the health sector
work for patients

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Dear Jane

Q3 2015/16 monitoring of NHS foundation trusts

Our analysis of your Q3 submissions is now complete. Based on this work, the trust's current ratings are:

- Financial sustainability risk rating: 3
- Governance rating: Green

These ratings will be published on Monitor's website later in March.

The trust has been assigned a 'Green' governance rating but has failed to meet the referral to treatment target for incomplete pathways with a performance of 90%.

Monitor uses the above target (among others) as indicators to assess the quality of governance at foundation trusts. A failure by a foundation trust to achieve the targets applicable to it could indicate that the trust is providing health care services in breach of its licence. Accordingly, in such circumstances, Monitor could consider whether to take any regulatory action under the Health and Social Care Act 2012, taking into account as appropriate its published guidance on the licence and enforcement action including its Enforcement Guidance¹ and the Risk Assessment Framework².

We expect the trust to address the issues leading to the target failures and achieve sustainable compliance with the targets promptly. Monitor does not intend to take any further action at this stage, however should these issues not be addressed promptly and effectively, or should any other relevant circumstances arise, it will consider what if any further regulatory action may be appropriate.

A report on the aggregate performance of all NHS providers (Foundation and NHS trusts) from Q3 2015/16 will be available in due course on our website (in the News, events and publications section), which I hope you will find of interest.

¹ www.monitor-nhsft.gov.uk/node/2622

² www.monitor.gov.uk/raf

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For your information, we will be issuing a press release in due course setting out a summary of the report's key findings.

If you have any queries relating to the above, please contact me by telephone on 0203 747 0474 or by email (Becky.Chantry@Monitor.gov.uk).

Yours sincerely

A handwritten signature in black ink, appearing to read 'B. Chantry'.

Becky Chantry
Senior Regional Manager

cc: Mr Neil Large, Chairman,
Mr David Jago, Director of Finance